

NBBC Strategic Risk Register Summary

Full Register Summary

The total number of 'live' risks is 25.

As at the end of June 2026, the breakdown according to "net" risk is:

- "Net red" 2 (8%)
- "Net amber" 9 (36%)
- "Net green" 14 (56%)

Consequently, 23 of 25 (92%) risks are deemed "satisfactorily managed" – meaning that the 'traffic light' reporting position is "Green" (target 80%).

The "net red" risks are:

- **R1 - Potential failure to provide adequate accommodation to meet the needs of the borough with consequent impact on the lives of residents**
- **R4 - Failure to maintain the economic vibrancy of the borough / town centres**

Communities, Corporate Resources and Housing OSP Risks Summary

There are eighteen strategic risks within the remit of the panel. One is "net red", four are "net amber" and thirteen are "net green". Details of these risks are shown below.



NBBC Strategic Risk Register

Current Version: 1st July 2026

Communities, Corporate Resources and Housing OSP Risks

Risk Level Indicator Matrix and Descriptors

Key

	Green 1 - 4 (acceptable)
	Amber 6 – 9 (tolerable)
	Red 12 - 16 (unacceptable)

Likelihood

4	4	8	12	16
3	3	6	9	12
2	2	4	6	8
1	1	2	3	4
	1	2	3	4

Impact

Likelihood

- 4: **Very High** – occurrence is most likely or has already happened and will do so again if control measures are not introduced
- 3: **High** – occurrence is anticipated within the next 12 months
- 2: **Significant** – occurrence is probable in the next 3 years
- 1: **Low** – foreseeable, but not probable in the next 3 years

	Level of Impact	Service Delivery	Financial / Legal	Reputation / Community
4	Major	- A service delivery failure causes significant hardship to people for a period of 3 to 4 weeks or more or 1 week for anyone that is vulnerable, or failure to meet a nationally mandated deadline - Loss of major stakeholder/partner. - Adverse outcome of a serious regulatory enquiry	- Financial loss over £400,000 - Serious risk of legal challenge	- Sustained adverse TV/radio coverage - Borough wide loss of public confidence - Major damage to local environment, health and economy - Multiple loss of life
3	Serious	- A service delivery failure causes significant hardship for a period of 2 to 3 weeks or 3 to 7 calendar days for vulnerable people - Formal regulatory inquiry - Loss of a key partner or other partners	- Financial loss between £200K and £399K - High risk of successful legal challenge	- Significant adverse coverage in national press or equivalent low national TV coverage - Serious damage to local environment, health and economy - Extensive or multiple injuries &/or a fatality
2	Moderate	- A service delivery failure causes significant hardship for 1 to 2 weeks or 1 -2 calendar days for vulnerable people - Loss of a significant non-key partner - Legal concerns raised - Loss of employees has moderate effect on service provision	- Financial loss between £50K and £199K - Informal regulatory enquiry	- Significant adverse coverage in local press or regional TV - Large number of customer complaints - Moderate damage to local environment, health and economy - Moderate injuries to an individual
1	Low	- Disruption to services for up to 1 week - Minor legal implications - Loss of employees not significantly affecting service provision	Financial loss up to £49K	- Minor adverse media coverage - Minor environmental, health and economy damage - Minor increase in number of customer complaints - One or more minor injuries to an individual

NET RED RISKS

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R1	Potential failure to provide adequate accommodation to meet the needs of the borough with consequent impact on the lives of residents	Very High / Major (RED)	1. Housing / Homelessness Strategy.	1: Assistant Director (Housing Services)	Very High / Serious (RED)	1. Strategy in place. Housing Needs Survey, Strategic Housing Market Assessment, Housing and Economic Development Needs Assessment (HEDNA), Sustainable 30 Year HRA Business Plan.	Strategic Director (C&P) / PH – H / CCR&H OSP
			2. Warwickshire Heads of Housing Group (WHOH).	2: Assistant Director (Housing Services)		2. Minutes of WHOH meetings.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			3. Affordable Housing Supplementary Planning Document (Borough Plan).	3: Assistant Director (Planning)		3. Document in place.	
			4. Housing Revenue Account (HRA) Development and Acquisition Strategy.	4: Strategic Director (C&P)		4. Strategy in place.	
			5. Investment Partner status with Homes England.	5: Strategic Director (C&P)		5. Documents / minutes of meetings.	
			6. Development Team for HRA new builds.	6: Strategic Director (C&P)		6. Development Team minutes.	
			7. Nominations agreements with registered providers.	7: Assistant Director (Housing Services)		7. Agreement records.	
			8. Annual review of HRA Business Plan	8: Strategic Director (C&P)		8. Approved plan in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			9.Landlord Liaison Officers to support sustainable tenancies in private sector housing.	9: Assistant Director (Housing Services)		9. Landlord Forum minutes and casework.	
			10.Tenancy Support Officer for HRA stock.	10: Assistant Director (Housing Services)		10.Officer in place / casework notes.	
			11.Creation of Homelessness Prevention Team (January 2024).	11: Assistant Director (Housing Services)		11.Team in place / casework notes.	
			12.HRA stock "Right-sizing" Policy and Tenant Liaison Officer established	12: Assistant Director (Housing Services)		12.Policy in place / casework records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			13.Revised Homelessness Strategy.	13: Assistant Director (Housing Services)		13.Strategy in place.	
			14.Reviewed Housing Strategy (December 2024).	14: Assistant Director (Housing Services)		14. Revised strategy in place	
			15. New Borough Plan examined by the Inspectorate and adopted by Cabinet and Full Council (10/12/25).	15: Assistant Director (Planning)		15.New plan in place / Full Council minutes.	
			<u>Planned:</u>				
			1. HRA / GF development / acquisition programme.	1: Strategic Director (C&P) / Assistant Director (Assets and Compliance)		1. Programmes in place / Business Cases signed off.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			2. Consultation and formulation of a Town Centre Strategy (2026/27).	2: Assistant Director (Economy and Communities)		2. Strategy in place	
			3. Review of Housing Revenue Account Development and Acquisition Strategy (2026/27).	3: Assistant Director (Assets and Compliance)		3. Elected Member – approved document in place.	
			4. Up-to-date Strategic Housing Marketing Assessment (SHMA) evidence (in Autumn 2026).	4: Assistant Director (Planning)		4. Local Plan.	
			5. Review of effectiveness of “Right sizing policy” (Q2 2026/27).	5: Transformation team		5. Report.	

NET AMBER RISKS

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R8	Failure to deliver / refresh the key elements of the Corporate Plan	Very High / Moderate (AMBER)	1. Member training programme.	1: Chief Executive	High / Moderate (AMBER)	1. Records of all formal Employee & Member meetings and training.	Senior Leadership Team / PH - Leader and FES&C / CCR&H OSP
			2. Annual Development Reviews.	2: Strategic Directors		2. Records of all formal Employee & Member meetings and training.	
			3. Management Development training.	3. Strategic Director (R)		3. Records of all formal Employee & Member meetings and training.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			4. Performance management framework.	4: Assistant Director (Digital and Business Change)		4. Constitution (ref 5Q). Senior Leadership Team (SLT) performance report (monthly) / Directorate Management Teams (DMTs) performance reports (monthly) / three times per year performance reports to Overview and Scrutiny Panels. (BRP , EL , HCR , HC).	
			5. Corporate Plan Delivery Plan reviewed quarterly.	5: Chief Executive		5.Delivery plan in place / SLT reports.	
			6. Monitor and utilise external funding opportunities.	6: Senior Leadership Team (SLT)		6. Capital Programme and Revenue Budgets.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			7. Partnership working arrangements.	7: Senior Leadership Team		7. Partnership board meeting minutes.	
			8. On-going annual review of delivery plan.	8: Assistant Director (Democracy and Governance)		8. Current plan in place. April 2025 Cabinet (agenda item 8), Council (agenda item 11a). March 2026 Cabinet (agenda item 10).	
			9. Medium Term Financial Plan (reviewed annually).	9: Strategic Director (R)		9. Current plan in place / Cabinet reports.	
			10. HRA Business Plan (reviewed annually).	10: Strategic Directors (R) / (C&P)		10. Current plan in place / Cabinet reports	
			11. Revised Corporate Plan 2025/29 in place following new political leadership.	11: Assistant Directors (Democracy and Governance)		11. Approved plan in place. April 2025 Cabinet (agenda item 8), Council (agenda item 11a).	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			<u>Planned:</u>				
			1. Annual (31 st March) review of Delivery Plan against external factors.	1: SLT/ Cabinet.			
			2. Review of Annual Development Review (ADR) process (December 2026)	2: Chief Executive			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R16	“Cyber” crime or attack	High/ Major (RED)	1.Anti-virus, managed firewall, security patches and robust back-up procedure updated weekly.	1: ICT Manager	Significant / Major (AMBER)	1.PSN certification in place	Strategic Director (R) / PH – FES&C / CCR&H OSP
			2.Awareness training sessions held throughout the year.	2: ICT Manager		2. Training programme and attendance records.	
			3.Monthly e-communication from Cyber Crime Officer (WCC).	3: Strategic Director (R)		3. Monthly e-communication.	
			4.Public Services Network (PSN) annual compliance certification.	4: ICT Manager		4. PSN certification in place	
			5.Post “Azure” migration, LGA cyber security peer review undertaken (December 2023).	5: ICT Manager		5. Peer review report.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			6.Phising e-mail testing of staff to identify and action training requirements (On-going).	6: ICT Manager		6.Tests undertaken and training identified.	
			7.Current ICT Security Policy (subject to regular review).	7: Assistant Director (Digital and Business Change)		7. Policy in place / SLT minutes.	
			<u>Planned:</u>				
			1. "Cyber Essentials" certification application to be resubmitted pending Cyber security and risk review (2026/27, subject to upgrade of Electoral Registration software).	1: ICT Manager		1. Certificate in place.	
			2. Test internal e-mail security (on-going).	2: ICT Manager		2. Report to Management Team.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			3.Access to Electoral Registration database to be addressed (linked to Central Government elections “cyber” security threat briefing).	3: Electoral and Democratic Services Manager		3.Review completed with access arrangements in place and monitored at least annually.	
			4.Review of maintenance, access, storage and disposal of shared files containing electoral data.	4: Electoral and Democratic Services Manager		4: Review completed / records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R25	Noncompliance with regulations relating to: - Freedom of Information - Environmental Information - General Data Protection resulting in penalties applied by the Information Commissioner's Office	Very high / major (RED)	<u>Freedom of Information / Environmental Information</u>		Significant / major (AMBER)		Senior Leadership Team / PH – Cabinet / BRP, EH&L & CCR&H OSPs
			1.Monthly FOI reports to designated service areas.	1: Corporate Support and Data Compliance Manager		1.Reports.	
			2.Dash Customer Service Workflow application used to manage outstanding cases.	2: Strategic Director (R)		2.Dash application.	
			3.Email alerts on receipt of new requests.	3: Strategic Director (R)		3.Emails.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			4.Nominated officers in some service areas to monitor outstanding requests.	4: Chief Executive / Strategic Directors		4.Nominated Officers.	
			5.Workflow process regularly reviewed and updated if necessary	5: Information Management Group (IMG)		5.Request reports.	
			6. Regular targeted training on meeting FOI request deadlines.	6: Information Management Group (IMG)		6.Training records.	
			7. Nominated employees to monitor and manage FOI / EIR requests.	7: Strategic Director (R)		7. Officer in place.	
			8. Qualified DPO co-ordinating information in line with the Freedom of Information Act 2000	8: Strategic Director (R)		8. Officer in place.	

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			<u>Planned:</u>				
			1.Refresher training for Senior Managers (on-going).	1: Corporate Support and Data Compliance Manager		1.Senior Management Team minutes / training records.	
			<u>General Data Protection Regulations (GDPR)</u>				
			1. Corporate Information Governance Group (CIGG) / Information Management Group.	1: Corporate Support and Data Compliance Manager		1.Meeting minutes.	
			2. Use of an accredited contractor to dispose of electrical equipment (including IT equipment). The contractor guarantees data destruction & provides certification accordingly.	2: Strategic Director (R)		2. Contractor agreement and meetings minutes	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			3. Compliance with Public Services Network Code of Connection (PSN Co-Co).	3: Strategic Director (R)		3. Annual PSN Compliance Certification / “Cyber Essentials Scheme” certification.	
			4. Senior Information Risk Owner (SIRO) and Deputy appointed.	4: Strategic Director (R)		4. SIRO’s Job Description	
			5. Information Governance Framework/ ICT Code of Conduct for Employees/Member Protocol for the Use of IT Resources.	5: Assistant Director (Digital & Business Change)		5. Individual Cabinet Member Decision	
			6. Data Protection Officer (DPO) in line with Data Protection regulations.	6: Corporate Support and Data Compliance Manager		6. DPO in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			7. Data audit and publication of privacy notices.	7: Assistant Director (Digital and Business Change) / Corporate Support and Data Compliance Manager		7. Audit records (records of processing activity)/notices on council website: Customer services and Nuneaton and Bedworth Borough Council website Privacy notice Nuneaton and Bedworth Borough Council.	
			8. Internal Audit undertaken (Option via Central Midlands Audit Partnership).	8: Assistant Director (Democracy and Governance)		8. Reports in place, reports to Audit and Standards Committee (progress updates, update plan and Annual audit opinion) Meetings – Nuneaton and Bedworth Borough Council	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			9. Data Protection training available on Delta.	9: DPO and Training Officer		9. Delta training records	
			10. Refresh of Corporate Governance Group (CGG) / Information Management Group - refresh of terms of reference (T of R) for CGG (May 2025 at Corporate Executive Team), and Information Management Group established and in place following T of R approved at SLT (October 2025).	10: Assistant Director (Democracy and Governance) / DPO		10.Meetings minutes (CET, 13/5/26).	
			11. Data Protection information available to employees via NBBC Intranet.	11: DPO		11. NBBC Intranet.	

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			<u>Planned:</u>				
			1. Data Protection policy to be updated (October 2026).	1: DPO		1. Policy approved.	
			2. Information Asset Register to be established (timescale to be agreed with Information Management Group).	2: DPO / Information asset owners		2. Register in place.	
			3.Data protection and Freedom of Information Act training for Senior Managers (on-going).	3: DPO		3. Senior Management meeting records.	
			4. Data Protection training to be reviewed to ensure that it is up to date and appropriate (on-going).	4: DPO and Training Officer		4. Delta training records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R34	Corporate Fraud: - Procurement - Payments and Finance - Elections - Employment application / recruitment - Internal Fraud - Housing benefit fraud, tenancy fraud, Right to Buy fraud, subletting and Council tax fraud - External grants (3rd sector, private sector and activity-based funding/grants) - Insurance claims - Insider and employee fraud	Very High/ Major (RED)	1. Employment of a Corporate Fraud Officer.	1: Assistant Director (Finance)	High / Serious (AMBER)	1. Officer in place.	Senior Leadership Team / FES&C / CCR&H OSP
			2. National Fraud Initiative.	2: Assistant Directors (Democracy and Governance / Finance / Assets and Compliance)		2. Records and exercise undertaken every 2 years (data upload, checked and updated)	
			3. Approved officers for different levels of approval for credit cards, purchase orders, invoices and payments.	3: Assistant Director (Finance)		3. Records and authorised list. Systems updated.	
			4. Officer declarations of interest process and duty.	4: Assistant Director (Democracy and Governance)		4. Schedule maintained :CSLegal and Committee Reports Drive.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			5. Member declarations of interest process and duty.	5: Assistant Director (Democracy and Governance)		5. Records Maintained. Councillor Declarations of Interests 2025-2026 Nuneaton and Bedworth Borough Council .	
			6. Annual accounts – third party related transactions (chief officers).	6: Assistant Director (Finance)		6. Records maintained.	
			7. Procurement conflicts of interest assessment forms and declarations.	7: Assistant Director (Democracy and Governance)		7. Records maintained.	
			8. Procurement Contract Procedure Rules and Strategy.	8: Assistant Director (Democracy and Governance)		8. Strategy and Procedure Rules in place. Constitution (ref: 4G)	
			9. Access to Internal Audit provision (CMAP).	9: Strategic Director (R)		9. CMAP in place.	
			10. Employee Code of Conduct.	10: People Services Manager.		10. Code in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			11. Elected Member Code of Conduct.	11: Assistant Director (Democracy and Governance)		11. Code in place. Constitution (ref: 5AA and 5A Member Code of Conduct Complaint Process)	
			12. Corporate Governance Training.	12: Assistant Director (Democracy and Governance)		12. Training records on training system (Delta).	
			13. No Purchase Order No Pay Policy, Financial Procedure Rules and segregation of duties.	13: Assistant Director (Finance)		13. Strategy and Procedure Rules in place.	
			14. Evidence-based insurance claims to mitigate fraudulent insurance claims.	14: Assistant Director (Finance)		14. Records maintained, and checks undertaken.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			15. Council policies and procedures (Employee Code of Conduct, Gifts & Hospitality Policy, Anti-Fraud, Corruption and Bribery Strategy, Anti-Money Laundering Policy, Whistleblowing Procedures).	15: Assistant Director (Democracy and Governance) / Assistant Director (Finance) / People Services Manager		15. Policies and Procedure Rules in place. Constitution	
			16. Directors are responsible for ensuring that there is a strong internal control environment within their service areas, for example, by making sure that proper authorisation and approval controls are in place, e.g. payroll documentation, or that certain duties are divided amongst the team to prevent the risk of fraud or accidental error.	16: Strategic Directors and Assistant Directors		16.Procedures updated and tested following National Fraud Initiative and Audits.	

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			17. Recruitment employee related checks (No right to work in the UK, false identity, false qualifications, false references, concealment of key information for example reasons for breaks in employment history).	17: People Services Manager		17. Checks in place and files recorded and maintained.	
			18. Evidence based checks and challenge for over inflation of activity data by providers to obtain increased funding / payments from the Council for all grant applications.	18: Strategic Directors and Assistant Directors		18. Checks in place and files recorded and maintained.	

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			19. Electoral based fraud: identify fraud, applications for registration, absent voters and voter ID.	19: Electoral and Democratic Services Manager		19. Records maintained per elections risk register.	
			20. Undertake visits to properties across the housing stock to ensure that the tenants assigned are residing there as their main and principal home.	20: Assistant Director (Assets and Compliance)		20. Correspondence / records of checks.	

NET GREEN RISKS

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R3	A major NBBC contractor or supplier cannot deliver a service as planned or ceases trading / failure to comply with requirements for procuring goods and services	Significant / Major (AMBER)	1. Managed under the Democracy and Governance Operational Risk Register.	1: Assistant Director (Democracy and Governance)	Low / Major (GREEN)	1. Democracy and Governance Operational Risk Register (CM Folder Ref: FDR25/1318).	Senior Leadership Team / PH – FES&C / CCR&H OSP
			2. Procurement Team notify service areas of declining economic financial stability of a supplier for appropriate action.	2: Procurement Team / Assistant Directors		2. Correspondence and Credit Agency records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R5	Failure to reduce the fear of crime and disorder	High /- Serious (RED)	1. Nuneaton and Bedworth Safer Communities Partnership (NABSCOP). Meets three times per year. Action points identified & regularly reviewed. Has own Risk Register.	1: Communities and Community Safety Manager	Sig / Moderate (GREEN)	1. Minutes of NABSCOP meetings, including crime performance statistics. External OSP reports.	Strategic Director (C&P) / PH – FES&C / CCR&H OSP
			2. Police & Crime Commissioner (PCC) grants.	2: Communities and Community Safety Manager		2. Financial accounts (PCC grant receipt and usage). External OSP reports.	
			3. Annual strategic assessment of crime, the level of crime & its impact on the community.	3: Communities and Community Safety Manager		3. Confidential strategic document in place / Minutes of NABSCOP meetings.	
			4. Anti-Social Behaviour & Harassment Policy / Anti-Social Behaviour Strategy	4: Communities and Community Safety Manager		4. Relevant case management records in Flare system / ASB Case Management Group minutes.	

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			5. Communities Officer (anti-social behaviour).	5: Communities and Community Safety Manager		5. Officer in place.	
			6. CCTV coverage.	6: Assistant Director (Economy and Communities)		6.CCTV records / British Standard BS7958 Certification / Police Tasking Group minutes.	
			7. Public Space Protection Orders (PSPOs) relating to begging control and anti-social cycling in town centres.	7: Communities and Community Safety Manager		7. Cabinet meeting minutes.	
			8. Dedicated ASB team for Landlord Services.	8: Assistant Director (Assets and Compliance)		8. Performance reports to Strategic Director and portfolio holder.	
			9.Additional full time Community Safety Officer role within the Council's establishment.	9: Communities and Community Safety Manager		9.Officer in place.	

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			10.Establish initiative via the UK Shared Prosperity Fund (SPF) – year 4 ends March 2026.	10: Communities and Community Safety Manager		10. Cabinet approval / initiatives in place. Grant paperwork / criteria.	
			11. Serious Violence Duty Delivery Plan.	11: Communities and Community Safety Manager		11. Cabinet report / minutes.	
			12.Certification for landlord ASB service.	12: Landlord Services Manager		12. Certification in place.	
			13.Corporate Community Safety Group.	13: Communities and Community Safety Manager		13. Minutes of Corporate Community safety Group.	
			Planned:				
			1. Devise and implement a Community Cohesion Strategy (September 2026)	1: Communities and Community Safety Manager		1. Cabinet / OSP reports / minutes.	

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			2. Devise and implement Neighbourhood Improvement and Cohesion Plans (September 2026)	2: Communities and Community Safety Manager		1. Cabinet / OSP reports / minutes.	

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R7	Breakdown of Council services due to an emergency or significant incident.	Low/Major (AMBER)	1. Corporate Business Continuity Plan.	1: Senior Leadership Team	Low/ Serious (GREEN)	1. Plan in place.	Chief Executive and Strategic Director (C&E) / PH - Cabinet / CCR&H OSP
			2. Emergency Plan including regular training.	2: Assistant Director (Recreation and Culture)		2: Plan in place.	
			3. Shared service Emergency Planning Officer in place.	3: Strategic Director (C&E)		3. Joint Emergency Planning Officer (JEPO) two-year work streams plan.	
			4.Flexibility of working at Town Hall or Gresham Road Depot (significant incidents).	4: Chief Executive		4.Availability of locations.	

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			5. Assistant Director (Recreation and Culture) appointed as strategic lead officer for emergency planning.	5: Strategic Director (C&E)		5. Officer in post.	
			6. Business Continuity Planning policy.	6: Corporate Executive Team		6. Policy in place.	
			7. Updated Emergency Plan.	7: Assistant Director (Recreation and Culture) and Joint Emergency Planning Officer (CSW shared service)		7. Plan in place.	
			8. "Cloud"-based service has replaced server rooms in council buildings.	8: Assistant Director (Digital and Business Change) and Joint Emergency Planning Officer (CSW shared service)		8. Service in place.	

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			9. On-going Strategic and Assistant Directors attending Warwickshire Local resilience Forum (WLRF) training on Civil Contingencies Act responsibilities.	9: Chief Executive		9. Training records held by WLRF.	
			10.Engagement with WLRF and Coventry, Solihull and Warwickshire partnership (CSW), as appropriate, to explore options to mitigate national power outages.	10: Strategic Director (C&E)		10.Meeting minutes	
			<u>Planned:</u>				
			1. Update Business Continuity Plans (2026/27).	1: Strategic Directors			
			2. Training sessions on new Emergency Plan by end of December 2026.	2: Assistant Director (Recreation and Culture)			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			3. Emergency Planning Officer appointed to allow greater local resilience (August 2026).	3: Assistant Director (Recreation and Culture)			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R10	Failure to effectively manage the Council's finances	V. High / Serious (RED)	1. Adequate level of reserves held to manage fluctuations. Section 151 assurance statement on budget proposals	1: Strategic Director (R)	Low / Moderate (GREEN)	1. Reserves maintained and kept under review / S151 Officer's annual Assurance Statement on reserves.	Chief Executive & Strategic Director (R) – Section 151 Officer / PH – FES&C / CCR&H OSP
			2. Housing Revenue Account Business Plan (regularly updated).	2: Strategic Director (C&P) and Strategic Director (R)		2. Internal audit / annual Cabinet report.	
			3. Medium-Term Financial Plan (Updated February 2026).	3: Strategic Director (R)		3. Cabinet / Full Council minutes.	
			4. Strategic Risk Register maintenance and review.	4: Risk Management and Performance Officer		4. Document controlled Strategic Risk Register.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			5. Financial and contract procedure rules and associated regular training.	5: Assistant Directors (Democracy and Governance) and (Finance)		5. Internal / External audit reports / training records.	
			6. Level of reserves reviewed as part of annual budget setting process.	6: Strategic Director (R)		6. Cabinet report.	
			7. Section 151 Officer in post.	7: Chief Executive		7.Member-led appointment process.	
			8.Multi-year capital budget and funding monitoring (in February).	8: Strategic Director (R)		8.Cabinet / Council reports.	
			<u>Planned:</u>				
			1.Medium-Term Financial 3-year Strategy (September 2026).	1: Strategic Director (R)			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R12	Safeguarding children and adults with care and support needs from abuse, neglect and harm	Significant / Serious (AMBER)	1. Safeguarding Policy and Guidance (and subject to regular review)	1: Assistant Director (Economy and Communities)	Low / Serious (GREEN)	1. Policy in place (NBBC Safeguarding Policy Nuneaton and Bedworth Borough Council) and training records.	Strategic Director (C&P) / PH – FES&C / CCR&H OSP
			2. Corporate safeguarding lead officer and single point of contact for Warwickshire Family Connect.	2: Assistant Director (Economy and Communities)		2. Job description and person specification.	
			3. NBBC Recruitment & selection procedure.	3: Chief Executive		3. Recruitment records.	
			4. Disclosure & Barring Service policy and checks (DBS).	4: People Services Manager		4. DBS check records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			5. Warwickshire Family Connect and Adult Social Care (ASC).	5: Assistant Director (Economy and Communities)		5. NBBC (Safeguarding children Safeguarding Nuneaton and Bedworth Borough Council) and WCC (Warwickshire Safeguarding).	
			6. Safeguarding refresher training (every 3 years).	6: Assistant Director (Economy and Communities)		6. Training records ("DELTA").	
			7. Warwickshire Safeguarding partnership best practice guidelines.	7: Assistant Director (Economy and Communities)		7. Warwickshire Safeguarding website (Warwickshire Safeguarding).	
			8. Warwickshire Housing safeguarding lead officer.	8: Assistant Director (Economy and Communities)		8. Correspondence / meeting minutes.	
			9. Multi Agency Public Protection Authority (MAPPA).	9: Assistant Director (Housing Services)		9. Minutes of meetings.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			10.Referrals and requests for information (separate ones for Children and Adults).	10: Assistant Director (Economy and Communities)		10. DASH Safeguarding referral process / referrals and requests records.	
			<u>Planned:</u>				

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R15	Insufficient planning or resourcing of capital investment priorities	Significant / Serious (AMBER)	1. Corporate / Strategic programme management arrangements.	1: Chief Executive	Low / Moderate (GREEN)	1. Minutes of meetings (including Strategic / HRA / ITC / Regeneration / Corporate Asset Management programme boards).	Senior Leadership Team / PH - Cabinet / CCR&H OSP
			2. Housing Revenue account (HRA) Business Plan regularly reviewed.	2: Strategic Directors (C&P) and (R)		2. Plan and dedicated Finance Business Partner in place.	
			3. Medium Term Financial Plan including regular review.	3: Strategic Director (R)		3. Plan in place.	
			4. Internal audit programme.	4: Strategic Director (R)		4. Audit reports.	
			5. Treasury Management Strategy and Prudential Indicators.	5: Strategic Director (R)		5. Strategy in place / Council minutes.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			6. Regular reporting on the multi-year Capital programme.	6: Strategic Director (R)		6. Reports.	
			7. WMCA funding for land remediation.	7: Strategic Director (C&P)		7. Funding received.	
			8. Local Regeneration funding agreed.	8: Strategic Director (C&P)		8. Monitoring and evaluation return and programme board minutes	
			9. Bedworth funding agreed.	9: Strategic Director (C&P)		9. Funding agreement in place. Corporate programme strategic board minutes.	
			10. Monthly WMCA engagement meetings.	10: Strategic Director (C&P)		10. Meeting minutes / funding applications.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			11. HRA Corporate Programme Strategic Board to oversee major capital work spend / budget management.	11 Strategic Director (C&P)		11. Meeting minutes.	
			12. Annual General Fund / HRA budget setting (3-year budget process).	12: Strategic Director (R)		12. Cabinet meeting minutes.	
			13. Budget monitoring.	13: Senior Leadership Team		13. MT reports / minutes.	
			14. Programme management arrangements.	14: Senior Leadership Team		14. MT reports / minutes.	
			15. Investment plan agreed under the Local Regeneration funding programme.	15: Strategic Director (C&P)		15. Agreement in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			16. Up-to-date Capital Strategy in place (December 2025).	16: Assistant Directors (Finance) and (Economy and Communities) / Strategic Director (R) / Strategic Director (C&P)		16. Strategy in place.	
			<u>Planned:</u>				
			1. Revised HRA Asset Management Plan (2026/27).	1: Assistant Director (Assets and Compliance)		1. Plan in place.	
			2. Establish up-to-date Asset Management Plan & including Acquisition and Disposal programme (in first quarter 2026/27).	2: Assistant Directors (Finance), (Assets and Compliance) and (Economy and Communities) / Strategic Director (R / Strategic Director (C&P)		2. Cabinet / Full Council reports / Corporate Governance Group minutes	
			3.Review of HRA governance arrangements (2026/27).	3: Strategic Director (C&P)		3.Housing and Communities OSP report.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R23	Communications - disruptive adverse comment or media coverage reflecting extremely badly on the Council, impacting on the reputation of the Council and/or the Borough, resulting from failure to take appropriate communications action	Low / Moderate (GREEN)	1. Communications and marketing strategy associated protocols and plans (and on-going review).	1: Assistant Director (Democracy and Governance)	Low / Moderate (GREEN)	1. Press releases, training, communications and marketing action plan; media statements; Media monitoring.	Strategic Director (R) / PH - Cabinet / CCR&H OSP
			2. Media training for Strategic / Assistant Directors.	2: Communications and Marketing Team Leader		2.Training records.	
			3.LGA social media training for Elected Members (and on-going internal training, as required).	3: Chief Executive		3.Training delivered / records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			<u>Planned:</u>				
			1. Review and update of Communications and marketing strategy (October 2026).	1: Assistant Director (Democracy and Governance) / Communications and Marketing Team Leader			
			2. Refresh of media training for Strategic / Assistant Directors (March 2027).	2: Communications and Marketing Team Leader			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R26	Non-compliance with Department of Work and Pensions (DWP) data use guidelines.	High / Serious (AMBER)	1. On-going system testing linked to DWP plan.	1: Strategic Director (R)	Low / Low (GREEN)	1. DWP plan.	Strategic Director (R) / PH – FES&C / CCR&H OSP
			<u>Planned:</u>				
			1.On-going audit of DWP Plan.	1: Assistant Director (Finance)		1.Audit logging records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R27	Arson or accidental fire in NBBC corporate buildings	Significant / Major (AMBER)	1. Fire Management Group (FMG).	1: Strategic Directors (C&E) / (C&P) / Assistant Directors (Environment and Enforcement) / (Assets and Compliance))	Low / Major (GREEN)	1. FMG meeting minutes. HASCOG reports.	Senior Leadership Team / PH – FES&C, R&BD & L&H, / BRP, EH&L & CCR&H OSP
			2. Regularly serviced fire detection & alarm systems / fire extinguishers and appropriate Fire Risk Assessments (FRA) regularly reviewed.	2: Strategic Director (C&P) / Assistant Director (Assets and Compliance)		2. Service records, Fire extinguisher service records & records of FRA outcomes. External report (review of arrangements).	
			3. Quarterly Health & Safety inspections give attention to fire risks.	3: Respective Strategic / Assistant Directors.		3. Quarterly Health & Safety inspection records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			4. Annual Capital Fire Safety Work Programme.	4: Strategic Director (C&P) / Assistant Director (Assets and Compliance)		4. Cabinet reports and Capital Projects Meeting Minutes.	
			5. Existing insurance policy documents.	5: Assistant Director (Finance)		5. Policy documents in place.	
			6. Internal audit of fire risk arrangements (completed February 2022).	6: Audit and Governance Manager (CMAF)		6. Internal Audit report.	
			7. Certified fire doors.	7: Strategic Director (C&P) / Assistant Director (Social Housing and Community Safety)		7. Doors / Certification in place.	
			8. Corporate review of Health and Safety arrangements (September 2025).	8: Strategic Director (C&E)		8. Senior Leadership Team report / minutes.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			9. Town Hall fire prevention arrangements (including upgrade of door entry system).	9: Strategic Director (C&P) / Assistant Director (Assets and Compliance) / Health and Safety Manager		9.Monitored action plan in place / Updates to Fire Services	
			10. Implement periodic Audit report (CMAP) recommendations.	10: Health and Safety Manager		10.Audit action plan.	
			11. Act on appropriate recommendations arising from public enquiries / legislation changes (on-going).	11: Strategic Director (C&P) / Assistant Director (Assets and Compliance) / Health and Safety Manager		11.Reports / action plans.	
			<u>Planned:</u>				
			1. Review and refresh Business Continuity Plans (2026/27).	1: Strategic / Assistant Directors			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			2. Leasehold commercial properties – review and establish landlord checks for structure / electrical / gas / fire safety and security of empty purchased properties pending redevelopment (2026/27).	2: Strategic Director (C&P) / Assistant Director (Assets and Compliance) / Assistant Director (Economy and Communities)			
			3. Review of corporate assets and associated operations (2026/27).	3: Strategic Director (C&P) / Assistant Director (Assets and Compliance)		3.Review findings / updated register / CET report.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R28	Arson or accidental fire in General Purpose flats and Independent Living Complexes / bungalows / HRA-owned hostels	Significant / Major (AMBER)	1. Fire Management Groups (Operational / Strategic / Governance).	1: Strategic Director (C&P)	Low / Major (GREEN)	1. FMG meeting minutes. HASCOG reports.	Strategic Director (C&P) / PH – H / CCR&H OSP
			2. Regularly serviced fire detection & alarm systems / fire extinguishers and appropriate Fire Risk Assessments (FRA) regularly reviewed.	2: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		2. Service records, Fire extinguisher service records & records of FRA outcomes. External report (review of arrangements).	
			3. Regular Health & Safety inspections give attention to fire risks.	3: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		3. Quarterly Health & Safety inspection records.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			4. Annual Capital Fire Safety Work Programme in Housing Revenue Account stock.	4: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		4. Cabinet reports and Capital Projects Meeting Minutes.	
			5. External Wall Insulation specification reviewed.	5: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		5. Property records.	
			6. Maglock doors fitted to communal areas of Independent Living Complexes.	6: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		6. Doors in place.	
			7. Certified fire doors.	7: Assistant Director (Assets and Compliance) / Capital Projects Investment Manager		7. Doors / Certification in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			8: Review of evacuation policy in complexes (signed off January 2023).	8: Assistant Director (Assets and Compliance)		8. Report in place.	
			9. Implementation of Social Housing (Regulation) Act 2023 regulations and other legislation related to fire detection and carbon monoxide detection systems.	9: Capital Projects Investment Manager / Senior Housing Safety and Compliance Officer		9. Effective detection systems in place.	
			10.External risk audit report by insurers (Gallagher Bassett) February 2023.	10: Capital Projects Investment Manager / Senior Housing Safety and Compliance Officer		10.Action plan and MT minutes.	
			11. Existing property insurance policy documents.	11: Assistant Director (Finance)		11. Policy documents in place.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			12. Liaison with Warwickshire Fire and Rescue Service Fire Prevention Team.	12: Capital Projects Investment Manager / Senior Housing Safety and Compliance Officer)		12. E-mail records and meeting minutes / outcomes.	
			13. On-going fire safety training (internal and external).	13: Capital Projects Investment Manager / Senior Housing Safety and Compliance Officer)		13. Training records.	
			14. Fire safety information produced and published for all tenants and leaseholders (including Tenancy Packs).	14: Assistant Director (Assets and Compliance)		14: Documents in place / on-line.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			<u>Planned:</u>				
			1. Implement periodic Internal Audit (CMAP) report recommendations.	1: Assistant Director (Assets and Compliance) / Senior Housing Safety and Compliance Officer			
			2. Internal reviews by Corporate Health and Safety Team.	2. Assistant Director (Assets and Compliance) / Senior Housing Safety and Compliance Officer			
			3. Act on appropriate recommendations arising from public enquiries / legislation changes (on-going).	3: Strategic Director (C&P) / Assistant Director (Assets and Compliance) / Health and Safety Manager)			
			4. Review and refresh Business Continuity Plans (2026/27).	4: Strategic / Assistant Directors			

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R29	Human Resources (HR) – failure to effectively manage workforce planning / comply with legislation and policies	Low / Serious (GREEN)	1.Advisory Conciliation and Arbitration Service (ACAS) code of practice and legislation.	1: People Services Manager	Low / Serious (GREEN)	1.Approved policies in place.	Chief Executive (Head of Paid Services) / PH FES&C / CCR&H OSP
			2.Up-to-date HR policies and procedures subject to regular review and developed in consultation with trade unions.	2: People Services Manager		2.Regular alerts from designated bodies / Policies in place.	
			3.Appropriate training on HR policies and procedures.	3: People Services Manager		3.Training records.	
			4.Change Management Policy	4: People Services Manager / Service managers.		4.Management Team minutes.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			5. Periodic review of employee remuneration levels.	5: People Services Manager		5.Report to Remuneration Panel and Employee Committee.	
			6.Strategic Workforce Planning training undertaken.	6: People Services Manager / Assistant Directors.		6.Training records	
			7.Introduction of Human Resources and People Strategy (April 2026)	7: People Services Manager		7.Strategy in place	
			<u>Planned:</u>				
			1. Update of Business Continuity Plans - Trade Union reforms taking effect from April 2026 may increase the likelihood, frequency and duration of industrial action (2026/27).	1: People Services Manager		1.Business Continuity Plans.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R30	Ombudsman Complaints (Local Government Ombudsman / Housing Ombudsman) – failure to meet customer expectation after completion of our complaints process	High / Low (GREEN)	1. Formal complaints policy and process.	1: Corporate Support and Data Compliance Manager	High / Low (GREEN)	1. Policy and procedure in place.	Strategic Director (R) / PH Cabinet / BRP, EH&L & CCR&H OSPs
			2. Designated support and compliance team in place.	2: Corporate Support and Data Compliance Manager		2. Designated manager / team in place.	
			3. Review / Final check of service area escalated responses.	3: Corporate Support and Data Compliance Manager		3. Review records retained.	
			4. Ombudsman monitoring by SLT (Strategic Performance Report).	4: Senior Leadership Team		4. Strategic Performance Report.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
			5. Annual Ombudsman report to Scrutiny panel.	5: Corporate Support and Data Compliance Manager		5. FPS meeting minutes.	
			<u>Planned:</u>				
			1. Update complaints policy following regulatory changes (July 2026).	1: Chief Executive / Strategic Director (R)		1. Updated policy in place.	
			2. Quarterly data compliance update report to SLT (August 2026).	2: Corporate Support and Data Compliance Manager		2. SLT meeting minutes.	

Risk Ref	Risk Description	Gross Risk	Mitigation Control Existing / Ongoing	Mitigation Owner	Net Risk / Status	Sources of Assurance	Risk Owner / Portfolio (PH) / OSP
R 31	Modern Slavery and Human Trafficking – failure to effectively monitor and comply with legislation	Low /Major (GREEN)	1. Qualified officers in post (Human Resources & Procurement).	1: Assistant Director (Democracy and Governance) / People Services Manager	Low /Major (GREEN)	1. Officers in post.	Senior Leadership Team / PH – FES&C / CCR&H OSP
			2.Raising awareness with Elected Members.	2: Strategic Director (R) / Assistant Director (Democracy and Governance)		2.Cabinet minutes / Corporate Governance Training.	
			3.Procurement procedures including Modern Slavery questions as part of the tender process – including contract terms and conditions.	3: Assistant Director (Democracy and Governance)		3.Contract procedure rules and monitoring and review of tender templates	
			4.Specific Modern Slavery e-learning training module for senior officers.	4: People Services Manager		4.Training records.	